

Section 8  
PATENT

ATTORNEY DOCKET NO. 09857/022001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ana Gabriela Anaya et al.      Art Unit: 2735  
Serial No.: 09/347,497      Examiner:  
Filed : July 2, 1999  
Title : MARKET EVENT ALERTS AND USER ACCESS TO MONITORING  
DATA

Assistant Commissioner for Patents  
Washington, DC 20231

Attention: Box Missing Part

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of  
Application under 37 CFR 1.53(b) mailed August 2, 1999 (a copy of  
which is enclosed), applicant as a large entity submits the  
following:

Payment of the basic filing fee of \$760.00.

Payment of \$576.00 for 32 total claims over 20; payment  
of \$780.00 for 10 independent claims over 3.

A Combined Declaration and Power of Attorney in  
compliance with 37 CFR 1.63.

Payment of the surcharge of \$130.00 for late filing of  
the declaration.

It is understood that this perfects the application and  
no additional papers or fees are required. Please apply any  
other charges or credits to Deposit Account No. 06-1050.

Date of Deposit

Oct. 4, 1999  
I hereby certify under 37 CFR 1.8(a) that this correspondence is being  
deposited with the United States Postal Service as **first class mail**  
with sufficient postage on the date indicated above and is addressed to  
the Assistant Commissioner for Patents, Washington D.C. 20231.

Denise M. Brown

Respectfully submitted,

Date: 10/4/99

John F. McCabe  
John F. McCabe  
Reg. No. 42,854

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

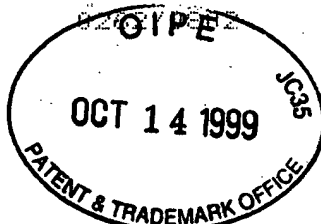
Telephone: 617/542-5070  
Facsimile: 617/542-8906  
398380.B11

**UNITED STATES DEPARTMENT OF COMMERCE****Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231DGM  
JH

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/347,497 07/02/99 ANAYA

A 09857/022001

DENNIS G MALONEY  
FISH & RICHARDSON PC  
225 FRANKLIN ST  
BOSTON MA 02110-2804

NOT ASSIGNED

2735

DATE MAILED:

08/02/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION****Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 3246

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 576 for 32 total claims over 20.

\$ 780 for 10 independent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

01 FC:101  
02 FC:105  
03 FC:102  
04 FC:103760.00 OP  
130.00 OP  
780.00 OP  
576.00 OP

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

U. Robinson

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

M. Parts  
8/2/99  
10/2/99  
2/2/2000